

Appendix 1

Comparison of the IUU Regulation and International Requirements

Key element of the IUU Regulation	Relevant provision of international instruments	Relevant RFMO conservation and management measure
Port state control over third country fishing vessels	IPOA-IUU, paras 52–60	Recommendation by ICCAT on Establishing Statistical Document Programs for Swordfish, Bigeye Tuna, and Other Species Managed by ICCAT, 00–22 SDP, 26 June 2001
	LOSC, Article 25	
	UN Fish Stocks Agreement, Article 23	ICCAT, Recommendation by ICCAT Concerning the ICCAT Bigeye Tuna Statistical Document Program, 01–21 SDP, 21 September 2002
	FAO Model Scheme on Port State Measures to Combat Illegal, Unreported and Unregulated Fishing	ICCAT, Recommendation by ICCAT Establishing a Swordfish Statistical Document Programme, 01–22 SDP, 21 September 2002
		ICCAT, Resolution by ICCAT Concerning the Effective Implementation of the ICCAT Bluefin Tuna Statistical Document Program, 94–05 SDP, 23 January 1995, Addendum: ICCAT, Recommendation by ICCAT Concerning the Implementation of the ICCAT Bluefin Tuna Statistical Document Program on Re-export, 97–04 SDP, 12 December 1997, attachment
		CCSBT, Southern Bluefin Tuna Statistical Document Program, updated October 2003
		IATTC Resolution C-03-01, Resolution on IATTC Bigeye Tuna Statistical Document Program, 24 June 2003; IOTC, Resolution 01/06, Recommendation by IOTC Concerning the IOTC Bigeye Tuna Statistical Document Programme
		CCAMLR, Conservation Measures 10–05 (2004), Catch Documentation Scheme for <i>Dissostichus</i> spp
		IATTC, Agreement on the International Dolphin Conservation Program, Procedures for AIDCP Dolphin Safe Tuna Certification, amended, 20 October 2005

Key element of the IUU Regulation	Relevant provision of international instruments	Relevant RFMO conservation and management measure
Catch certification requirements	IPOA-IUU, para. 69	<p>IOTC, Resolution 01/06, Recommendation by IOTC Concerning the IOTC Bigeye Tuna Statistical Document Programme</p> <p>Recommendation by ICCAT on Establishing Statistical Document Programs for Swordfish, Bigeye Tuna, and Other Species Managed by ICCAT, 00–22 SDP, 26 June 2001</p> <p>Recommendation by ICCAT Concerning the ICCAT Bigeye Tuna Statistical Document Program, 01–21 SDP, 21 September 2002</p> <p>ICCAT, Recommendation by ICCAT Establishing a Swordfish Statistical Document Programme, 01–22 SDP, 21 September 2002</p>
IUU Vessels List	IPOA–IUU, para. 81.4.	<p>Recommendation by ICCAT to Establish a List of Vessels Presumed to have Carried out IUU Fishing Activities in the ICCAT Convention area 06–12</p> <p>IOTC Resolution 06/01 on Establishing a List of Vessels Presumed to Have Carried Out IUU Fishing in the IOTC Area</p> <p>IATTC, Resolution C-05-07, Resolution to Establish a List of Vessels Presumed to have Carried out IUU Fishing Activities in the Eastern Pacific Ocean</p> <p>WCPFC, Conservation and Management Measure to Establish a List of Vessels Presumed to have Carried out Illegal, Unreported and Unregulated Fishing Activities in the WCPO, Conservation and Management Measure 2007–03, 07 December 2007</p> <p>NEAFC Non-Contracting Party Scheme</p> <p>CCAMLR, Conservation Measure 10–07 (2006), Scheme to Promote Compliance by Non-Contracting Party Vessels with CCAMLR Conservation Measures</p>

Key element of the IUU Regulation	Relevant provision of international instruments	Relevant RFMO conservation and management measure
List of non-cooperating states		<p>CCAMLR, Conservation Measure 10–06 (2006), Scheme to Promote Compliance by Contracting Party Vessels with CCAMLR Conservation Measures</p> <p>NAFO Conservation and Enforcement Measures, Chapter VI, Scheme to Promote Compliance by Non-contracting Party Vessels with Recommendations Established by NAFO</p>
		<p>Denial of port landing and transshipment</p> <p>UN Fish Stocks Agreement, Article 23(3)</p> <p>Recommendation by ICCAT Amending the Recommendation by ICCAT to Establish a List of Vessels Presumed to have Carried out Illegal, Unreported and Unregulated Fishing Activities in the ICCAT Convention Area, 06-12 GEN, 13 June 2007, para. 9</p> <p>IOTC, Resolution 06/01 on Establishing a List of Vessels Presumed to Have Carried Out IUU Fishing in the IOTC Area, para. 13</p> <p>NEAFC, Non-Contracting Party Scheme, Article 11(d)</p> <p>CCAMLR, Non-Contracting Party Scheme, Article 11(f)</p> <p>CCAMLR, Contracting Party Scheme, Art. 18(vii)</p> <p>IATTC, Resolution on IUU Vessels List, Art. 9(e)</p> <p>IOTC Resolution on IUU Vessels List, para. 12(e)</p>
Prohibition of importation of fish and other trade restrictive measures		<p>ICCAT Recommendation against Belize, Cambodia, Honduras, and St Vincent and the Grenadines</p> <p>ICCAT, Recommendation by ICCAT Concerning the Importation of Bigeye Tuna and Bigeye Tuna Products from St Vincent and the Grenadines, 01–14 SANC, 21 September 2002</p>

Key element of the IUU Regulation	Relevant provision of international instruments	Relevant RFMO conservation and management measure
		<p>ICCAT, Recommendation by ICCAT Concerning the Importation of Atlantic Bluefin Tuna, Atlantic Swordfish, and Atlantic Bigeye Tuna and their Products from Belize, 02–16 SANC, 04 June 2003</p>
		<p>ICCAT, Recommendation by ICCAT Concerning the Importation of Bigeye Tuna and Its Products from Honduras, 02–18 SANC, 04 June 2003.</p>
		<p>ICCAT, Recommendation by ICCAT Concerning the Trade Sanction Against St Vincent and the Grenadines, 02–20 SANC, 04 June 2003</p>